Primitive words and roots of words

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Abstract. In the algebraic theory of codes and formal languages, the set Q of all primitive words over some alphabet Σ has received special interest. With this survey article we give an overview about relevant research to this topic during the last twenty years including own investigations and some new results. In Section 1 after recalling the most important notions from formal language theory we illustrate the connection between coding theory and primitive words by some facts. We define primitive words as words having only a trivial representation as the power of another word. Nonprimitive words (without the empty word) are exactly the periodic words. Every nonempty word is a power of an uniquely determined primitive word which is called the root of the former one. The set of all roots of nonempty words of a language is called the root of the language. The primitive words have interesting combinatorial properties which we consider in Section 2. In Section 3 we investigate the relationship between the set Q of all primitive words over some fixed alphabet and the language classes of the Chomsky Hierarchy and the contextual languages over the same alphabet. The computational complexity of the set Q and of the roots of languages are considered in Section 4. The set of all powers of the same degree of all words from a language is the power of this language. We examine the powers of languages for different sets of exponents, and especially their regularity and context-freeness, in Section 5, and the decidability of appropriate questions in Section 6. Section 7 is dedicated to several generalizations of the notions of periodicity and primitivity of words.

1 Preliminaries

1.1 Words and languages

First, we repeat the most important notions which we will use in our paper.

Σ should be a fixed alphabet, which means, it is a finite and nonempty set of symbols. Mostly, we assume that it is a **nontrivial** alphabet, which means that it has at least two symbols which we will denote by a and b, $a \neq b$. $\mathbb{N} = \{0, 1, 2, 3, \ldots\}$ denotes the set of all natural numbers. Σ^* is the free monoid generated by Σ or the set of all words over Σ. The number of letters of a word p, with their multiplicities, is the **length** of the word p, denoted by $|p|$. If $|p| = n$ and $n = 0$, then p is the **empty word**, denoted by ϵ (in other papers also by e or λ). The set of words of length n over Σ is denoted by Σ^n. Then $\Sigma^* = \bigcup_{n \in \mathbb{N}} \Sigma^n$ and $\Sigma^0 = \{\epsilon\}$. For the set of nonempty words over Σ we will use the notation $\Sigma^+ = \Sigma^* \setminus \{\epsilon\}$.

The **concatenation** of two words $p = x_1 x_2 \cdots x_m$ and $q = y_1 y_2 \cdots y_n$, $x_i, y_j \in \Sigma$, is the word $pq = x_1 x_2 \cdots x_m y_1 y_2 \cdots y_n$. We have $|pq| = |p| + |q|$. The **powers** of a word $p \in \Sigma^*$ are defined inductively: $p^0 = \epsilon$, and $p^n = p^{n-1}p$ for $n \geq 1$. p^* denotes the set $\{p^n : n \in \mathbb{N}\}$, and $p^+ = p^* \setminus \{\epsilon\}$.

For $p \in \Sigma^*$ and $1 \leq i \leq |p|$, $p[i]$ is the letter at the i-th position of p. Then $p = p[1]p[2] \cdots p[|p|]$.

For words $p, q \in \Sigma^*$, p is a **prefix of** q, in symbols $p \sqsubseteq q$, if there exists $r \in \Sigma^*$ such that $q = pr$. p is a **strict prefix of** q, in symbols $p \sqsubset q$, if $p \sqsubseteq q$ and $p \neq q$. $Pr(q) =_{Df} \{p : p \sqsubset q\}$ is the **set of all strict prefixes of** q (including ϵ if $q \neq \epsilon$).
p is a **suffix of** q, if there exists $r \in \Sigma^*$ such that $q = rp$.

For an arbitrary set M, $|M|$ denotes the cardinality of M, and $\mathcal{P}(M)$ denotes the set of all subsets of M.

A **language over** Σ or a **formal language over** Σ is a subset L of Σ^*. $\{L : L \subseteq \Sigma^*\} = \mathcal{P}(\Sigma^*)$ is the set of all languages over Σ. If L is a nonempty strict subset of Σ^*, $L \subset \Sigma^*$, then we call it a nontrivial language.

For languages L_1, L_2, and L we define:
$L_1 \cdot L_2 = L_1 L_2 =_{Df} \{pq : p \in L_1 \wedge q \in L_2\}$,
$L^0 =_{Df} \{\epsilon\}$, and $L^n =_{Df} L^{n-1} \cdot L$ for $n \geq 1$.
If one of L_1, L_2 is a one-element set $\{p\}$, then, usually, in $L_1 L_2$ we write p instead of $\{p\}$.

Languages can be classified in several ways, for instance according to the Chomsky hierarchy, which we will assume the reader to be familiar with (otherwise, see, for instance, in [8, 9, 23]). These are the classes of regular, context-

free, context-sensitive, and enumerable languages, respectively. Later on we will also consider linear languages and contextual languages and define them in Section 3.

1.2   Periodic words, primitive words, and codes

Two of the fundamental problems of the investigations of words and languages are the questions how a word can be decomposed and whether words are powers of a common word. These occur for instance in coding theory and in the longest repeating segment problem which is one of the most important problems of sequence comparing in molecular biology. The study of primitivity of sequences is often the first step towards the understanding of sequences.

We will give two definitions of periodic words and primitive words, respectively, and show some connections to coding theory.

Definition 1 *A word* $u \in \Sigma^+$ *is said to be* **periodic** *if there exists a word* $v \in \Sigma^*$ *and a natural number* $n \geq 2$ *such that* $u = v^n$. *If* $u \in \Sigma^+$ *is not periodic, then it is called a* **primitive word over** Σ.

Obviously, this definition is equivalent to the following.

Definition 1′ *A word* $u \in \Sigma^+$ *is said to be* **primitive** *if it is not a power of another word, that is,* $u = v^n$ *with* $v \in \Sigma^*$ *implies* $n = 1$ *and* $v = u$. *If* $u \in \Sigma^+$ *is not primitive, then it is called a* **periodic word over** Σ.

Definition 2 *The set of all periodic words over* Σ *is denoted by* $\mathrm{Per}(\Sigma)$, *the set of all primitive words over* Σ *is denoted by* $\mathrm{Q}(\Sigma)$.

Obviously, $\mathrm{Q}(\Sigma) = \Sigma^+ \setminus \mathrm{Per}(\Sigma)$.

In the sequel, if Σ is understood, and for simplicity, instead of $\mathrm{Per}(\Sigma)$ and $\mathrm{Q}(\Sigma)$ we will write Per and Q, respectively.

Now we cite some fundamental definitions from coding theory.

Definition 3 *A nonempty set* $\mathcal{C} \subseteq \Sigma^*$ *is called a* **code** *if every equation* $u_1 u_2 \cdots u_m = v_1 v_2 \cdots v_n$ *with* $u_i, v_j \in \mathcal{C}$ *for all* i *and* j *implies* $n = m$ *and* $u_i = v_i$ *for all* i.
A nonempty set $\mathcal{C} \subseteq \Sigma^*$ *is called an* n-**code** *for* $n \in \mathbb{N}$, *if every nonempty subset of* $\mathcal{C}$ *with at most* n *elements is a code. A nonempty set* $\mathcal{C} \subseteq \Sigma^+$ *is called an* **intercode** *if there is some* $m \geq 1$ *such that* $\mathcal{C}^{m+1} \cap \Sigma^+ \mathcal{C}^m \Sigma^+ = \emptyset$.

Connections to primitive words are stated by the following theorems.

Theorem 4 *If $\mathcal{C} \subseteq \Sigma^+$ and for all $p, q \in \mathcal{C}$ with $p \neq q$ holds that $pq \in Q$, then $\mathcal{C}$ is a 2-code.*

The proof will be given in Section 2.

Theorem 5 *If $\mathcal{C}$ is an intercode, then $\mathcal{C} \subseteq Q$.*

Proof. Assume that $\mathcal{C} \nsubseteq Q$ is an intercode and $\mathcal{C}^{m+1} \cap \Sigma^+ \mathcal{C}^m \Sigma^+ = \emptyset$ for some $m \geq 1$. Then we have a periodic word u in $\mathcal{C}$ which means $u = v^n \in \mathcal{C}$ for some $v \in \Sigma^+$ and $n \geq 2$. Then $u^{m+1} = v^{n(m+1)} = v(v^{nm})v^{n-1} \in \mathcal{C}^{m+1} \cap \Sigma^+ \mathcal{C}^m \Sigma^+$, which is a contradiction. $\square$

1.3 Roots of words and languages

Every nonempty word $p \in \Sigma^+$ is either the power of a shorter word q (if it is periodic) or it is not a power of another word (if it is primitive). The shortest word q with this property (in the first case) resp. p itself (in the second case) is called the root of p.

Definition 6 *The **root of a word** $p \in \Sigma^+$ is the unique primitive word q such that $p = q^n$ for some also unique natural number n. It is denoted by $\sqrt{p}$ or $\mathrm{root}(p)$. The number n in this equation is called the **degree of** p, denoted by $\deg(p)$. For a language L, $\sqrt{L} =_{Df} \{\sqrt{p} : p \in L \wedge p \neq \epsilon\}$ is the **root of** L, $\deg(L) =_{Df} \{\deg(p) : p \in L \wedge p \neq \epsilon\}$ is the **degree of** L.*

Remark. The uniqueness of root and degree is obvious, a formal proof will be given in Section 2.

Corollary 7 $p = \sqrt{p}^{\deg(p)}$ *for each word $p \neq \epsilon$;* $\quad \sqrt{L} \subseteq Q$ *for each language L;* $\quad \sqrt{\Sigma^*} = Q$; $\quad \sqrt{L} = L$ *if and only if $L \subseteq Q$.*

2 Primitivity and combinatorics on words

Combinatorics on words is a fundamental part of the theory of words and languages. It is profoundly connected to numerous different fields of mathematics and its applications and it emphasizes the algorithmic nature of problems on words. Its objects are elements from a finitely generated free monoid and therefore combinatorics on words is a part of noncommutative discrete mathematics. For its comprehensive results and its influence to coding theory and
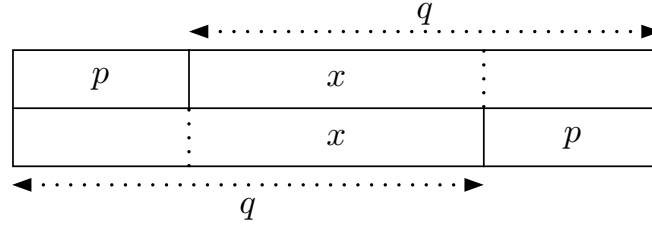
Figure 1: To the proof of Theorem 8

primitive words we refer to the textbooks of Yu [28], Shyr [24], Lothaire [19], and to Chapter 6 in [23]. Here we summarize some results from this theory which are important for studying primitive words or which will be used later.

The following theorem was first proved for elements of a free monoid.

Theorem 8 (Lyndon and Schützenberger [20]). *If $pq = qp$ for nonempty words p and q, then p and q are powers of a common word and therefore pq is not primitive.*

Proof. We prove the theorem by induction on the length of pq, which is at least 2. For $|pq| = 2$ and $pq = qp$, $p, q \neq \epsilon$, we must have $p = q = a$ for some $a \in \Sigma$, and the conclusion is true. Now suppose the theorem is true for all pq with $|pq| \leq n$ for a fixed $n \geq 2$. Let $|pq| = n + 1$, $pq = qp$, $p, q \neq \epsilon$, and, without loss of generality, $|p| \leq |q|$. We have a situation as in Figure 1. There must exist $x \in \Sigma^*$ such that $q = px = xp$.

Case 1) $x = \epsilon$. Then $p = q$, and the conclusion is true.

Case 2) $x \neq \epsilon$. Since $|px| \leq n$, by induction hypothesis p and x are powers of a common word. Then also q is a power of this common word.

The theorem follows from induction. $\square$

Corollary 9 $w \notin Q$ *if and only if there exist* $p, q \in \Sigma^+$ *such that* $w = pq = qp$.

Theorem 10 (Shyr and Thierrin [25]) *For words $p, q \in \Sigma^*$, the two-element set $\{p, q\}$ is a code if and only if $pq \neq qp$.*

Proof. First note, that both statements in the theorem imply, that $p, q \neq \epsilon$ and $p \neq q$. It is trivial that for a code $\{p, q\}$, $pq \neq qp$ must hold. Now we show, that no set $\{p, q\}$ with $pq \neq qp$ can exist which is not a code. Assume the opposite. Then

$\mathcal{M} =_{Df} \{\{p, q\} : p, q \in \Sigma^* \wedge pq \neq qp \wedge \{p, q\}$ is not a code$\} \neq \emptyset$.
Let $\{p, q\} \in \mathcal{M}$ where $|pq|$ is minimal, and let w be a word with minimal length having two different representations over $\{p, q\}$. Then $|w| > 2$ and one of the following must be true:
either (a) $w = pup = qu'q$ or (b) $w = pvq = qv'p$ for some $u, u', v, v' \in \{p, q\}^*$. Because of $p \neq q$, $p \sqsubset q$ or $q \sqsubset p$ must follow. Let us assume that $p \sqsubset q$. For the case $q \sqsubset p$ the proof can be carried out symmetrically. Then from both (a) and (b) it follows that $q = pr = sp$ for some $r, s \in \Sigma^+$. We have $|r| = |s| \neq |p|$ (because otherwise $r = s = p$ and $q = pp$), $|pr| < |pq|$, and $pr \neq rp$ (because otherwise $r = s$ and $pq = psp = prp = qp$). With $q = pr$ follows either (a') $pup = pru'pr$ from (a), or (b') $pvpr = prv'p$ from (b). Because of $|pr| < |pq|$, the choice of $\{p, q\}$ having minimal length, and the definition of $\mathcal{M}$, it must follow that $\{p, r\}$ is a code. But then from both (a') and (b') follows $p = r$, which is a contradiction. Hence $\mathcal{M}$ must be empty. $\square$

From the last two theorems we get the following corollary which for its part proves Theorem 4.

Corollary 11 *If $pq \in Q$ for words $p, q \neq \epsilon$, then $\{p, q\}$ is a code.*

Note, that the reversal of this corollary is not true. For example, $\{aba, b\}$ is a code, but $abab \notin Q$.
A weaker variant of the next theorem has been proved also by Lyndon and Schützenberger [20] for elements of a free monoid. Our proof follows that presented by Lothaire [19].

Theorem 12 (Fine and Wilf [7]) *Let p and q be nonempty words, $|p| = n$, $|q| = m$, and $d = gcd(n, m)$ be the greatest common divisor of n and m. If p^i and q^j for some $i, j \in \mathbb{N}$ have a common prefix u of length $n + m - d$, then p and q are powers of a common word of length d and therefore $\sqrt{p} = \sqrt{q}$.*

Proof. Assume that the premises of the theorem are fulfilled and, without loss of generality, $1 \leq n \leq m - 1$ (otherwise $n = m = d$ and $p = q = u$). We first assume $d = 1$ and show, that p and q are powers of a common letter.
Because of $u \sqsubseteq p^i$ and $|u| = m - 1 + n$ we have
(1) $u[x] = u[x + n]$ for $1 \leq x \leq m - 1$.
Because of $u \sqsubseteq q^j$ we have
(2) $u[y] = u[y + m]$ for $1 \leq y \leq n - 1$.
Because of (1) and $1 \leq m - n \leq m - 1$ we have
(3) $u[m] = u[m - n]$.

Let now $1 \leq x \leq y \leq m-1$ with $y - x \equiv n \mod m$. Then we have two cases.

Case a). $y = x + n \leq m-1$, and therefore $u[x] = u[y]$ by (1).

Case b). $y = x + n - m$. Since $x \leq m-1$ we have $x + n - m \leq n - 1$ and $u[y] = u[x+n-m] = u[x+n] = u[x]$ by (2) and (1).

Hence $u[x] = u[y]$ whenever $1 \leq x \leq y \leq m-1$ and $y - x \equiv n \mod m$. It follows by (1) that $u[x] = u[y]$ whenever $1 \leq x \leq y \leq m-1$ and $y - x \equiv k \cdot n \mod m$ for some $k \in \mathbb{N}$. Because of $\gcd(n,m) = 1$, the latter is true if $y - x$ is any value of $\{1, 2, \ldots, m-1\}$. This means, under inclusion of (3), $u[1] = u[2] = \cdots = u[m]$, and p and q are powers of the letter $u[1]$.

If $d > 1$, we argue in exactly the same way assuming Σ^d instead of Σ as the alphabet. $\square$

If we assume, $p^i = q^j$ for primitive words p and q and $i, j \in \mathbb{N} \setminus \{0\}$, then by Theorem 12, p and q are powers of a common word which can only be $p = q$ itself because of its primitivity. This means the uniqueness of the root of a word which also implies the uniqueness of its degree.

Using Theorem 12 we can easily prove the next theorem.

Theorem 13 (Borwein) *If $w \notin Q$ and $wa \notin Q$, where $w \in \Sigma^+$ and $a \in \Sigma$, then $w \in a^+$.*

The next theorem belongs to the most frequently referred properties concerning primitive words.

Theorem 14 (Shyr and Thierrin [26]) *If $u_1 u_2 \neq \epsilon$ and $u_1 u_2 = p^i$ for some $p \in Q$, then $u_2 u_1 = q^i$ for some $q \in Q$. This means, if $u = u_1 u_2 \neq \epsilon$ and $u' = u_2 u_1$, then $\deg(u) = \deg(u')$, $|\sqrt{u}| = |\sqrt{u'}|$, and therefore u primitive if and only if u' primitive.*

Proof. Let $u_1 u_2 = p^i \neq \epsilon$ and $p \in Q$. We consider two cases.

Case 1). $i = 1$, which means, $u_1 u_2$ is primitive. Assume that $u_2 u_1$ is not primitive and therefore $u_2 u_1 = q^j$ for some $q \in Q$ and $j \geq 2$. Then $q = q_1 q_2 \neq \epsilon$ such that $u_2 = (q_1 q_2)^n q_1$, $u_1 = q_2 (q_1 q_2)^m$, and $j = n + m + 1$. It follows that $u_1 u_2 = (q_2 q_1)^{m+n+1} = (q_2 q_1)^j$ is not primitive. By this contradiction, $u_2 u_1 = q^1$ is primitive.

Case 2). $i \geq 2$. Then $p = p_1 p_2 \neq \epsilon$ such that $u_1 = (p_1 p_2)^n p_1$, $u_2 = p_2 (p_1 p_2)^m$, and $i = n + m + 1$. Since $p = p_1 p_2$ is primitive, by Case 1 also $q =_{\mathrm{Df}} p_2 p_1$ is primitive, and $u_2 u_1 = (p_2 p_1)^{m+n+1} = q^i$. $\square$

The proof of the following theorem, which was first done by Lyndon and Schützenberger [20] for a free group, is rather difficult and therefore omitted here.

Theorem 15 *If $u^m v^n = w^k \neq \epsilon$ for words $u, v, w \in \Sigma^*$ and natural numbers $m, n, k \geq 2$, then u, v and w are powers of a common word.*
We say, that the equation $u^m v^n = w^k$, where $m, n, k \geq 2$ has only trivial solutions.

The next two theorems are consequences of Theorem 15.

Theorem 16 *If $p, q \in Q$ with $p \neq q$, then $p^i q^j \in Q$ for all $i, j \geq 2$.*

This theorem is not true if $i = 1$ or $j = 1$. For instance, let $p = aba$, $q = baab$, $i = 2$, $j = 1$.

Theorem 17 *If $p, q \in Q$ with $p \neq q$ and $i \geq 1$, then there are at most two periodic words in each of the languages $p^i q^*$ and $p^* q^i$.*

Proof. Assume that there are periodic words in $p^i q^*$, and $p^i q^j$ should be the smallest of them. Then $p^i q^j = r^k$ for some $r \in Q$, $k \geq 2$, $r \neq q$. Let also $p^i q^l = s^m \in \mathrm{Per}$, $s \in Q$, $l > j$, $m \geq 2$. Then $s^m = r^k q^{l-j}$, and $l - j = 1$ by Theorem 15. Therefore at most two words $p^i q^j$ and $p^i q^{j+1}$ in $p^i q^*$ can be periodic. For $p^* q^i$ the proof is done analogously. $\qquad\square$

With essentially more effort, the following can be shown.

Theorem 18 (Shyr and Yu [27, 28]) *If $p, q \in Q$ with $p \neq q$, then there is at most one periodic word in the language $p^+ q^+$.*

3   Primitivity and language classes

As soon as the set Q of primitive words (over a fixed alphabet Σ) was defined, the question arose which is the exact relationship between Q and several known language classes. Here it is important that Σ is a nontrivial alphabet because in the other case all results become trivial or meaningless: If $\Sigma = \{a\}$ then $Q(\Sigma) = \Sigma = \{a\}$ and $\mathrm{Per}(\Sigma) = \{a^n : n \geq 2\}$.
First we will examine the relationship of Q to the classes of the Chomsky hierarchy, and second that to the Marcus contextual languages.

3.1   Chomsky hierarchy

Let us denote by REG, CF and CS the class of all regular languages, the class of all context-free languages and the class of all context-sensitive languages (all over the nontrivial alphabet Σ), respectively. It is known from Chomsky Hierarchy that REG $\subset$ CF $\subset$ CS (see, e.g., the textbooks [8, 9, 23]). It is easy

to show that $Q \in CS \setminus REG$, and hence it remains the question whether Q is context-free. Before stating the theorem let us remember that CF is the class of languages which are acceptable by nondeterministic pushdown automata, and CS is the class of languages which are acceptable by nondeterministic linear bounded automata. The latter are Turing machines where the used space on its tapes (this is the number of tape cells touched by the head) is bounded by a constant multiple of the length of the input string. If the accepting automaton is a deterministic one the corresponding language is called a deterministic context-free or a deterministic context-sensitive language, respectively. It can be shown that the deterministic context-free languages are a strict subclass of the context-free languages, whereas it is not yet known whether this inclusion is also strict in the case of context-sensitive languages (This is the famous LBA-problem).

Theorem 19 Q *is deterministic context-sensitive but not regular.*

Proof. 1. It is easy to see that by a deterministic Turing machine for a given word u can be checked whether it fulfills Definition 1 and thus whether it is not primitive or primitive, and this can be done in space which is a constant multiple of $|u|$.

2. is a corollary from the next theorem.

Theorem 20 *A language containing only a bounded number of primitive words and having an infinite root cannot be regular.*

If Q would be regular, then also $\overline{Q} = \mathsf{Per} \cup \{\epsilon\}$ would be regular because the class of regular languages is closed under complementation. But $\sqrt{\overline{Q}} = Q$ is infinite and therefore by Theorem 20 it cannot be regular. $\square$

Proof of Theorem 20. Let L be a language with an infinite root and a bounded number of primitive words. Further let
$m =_{Df} \max(\{|p| : p \in L \cap Q\} \cup \{0\})$. Assume that L is regular. By the pumping lemma for regular languages, there exists a natural number $n \geq 1$, such that any word $u \in L$ with $|u| \geq n$ has the form $u = xyz$ such that $|xy| \leq n$, $y \neq \epsilon$, and $xy^k z \in L$ for all $k \in \mathbb{N}$. Let now $u \in L$ with $|\sqrt{u}| > n$ and $|u| > m$. Then $u = xyz$ such that $1 \leq |y| \leq |xy| \leq n$, $z \neq \epsilon$, and $xy^k z \in L$ for all $k \in \mathbb{N}$. By Theorem 14, for each $k \geq 1$, zxy^k is periodic (since $|xy^k z| \geq |u| > m$). Let $p =_{Df} \sqrt{zx}$, $i =_{Df} \deg(zx)$, and $q =_{Df} \sqrt{y}$. It is $p \neq q$ because otherwise, by Theorem 14, $|\sqrt{u}| = |\sqrt{zxy}| = |\sqrt{y}| \leq |y| \leq n$ contradicting the assumption $|\sqrt{u}| > n$. Then we have infinitely many periodic words in $p^i q^*$ contradicting Theorem 17. $\square$

In 1991 it was conjectured by Dömösi, Horváth and Ito [4] that Q is not context-free. Even though up to now all attempts to prove or disprove this conjecture failed, it is mostly assumed to be true. Some approximations to the solution of this problem will be given with the following theorems.

Theorem 21 Q *is not deterministic context-free.*

Proof. We use the fact that the class of deterministic context-free languages is closed under complementation and under intersection with regular sets. Assume that Q is deterministic context-free. Then also $\overline{Q} \cap a^*b^*a^*b^* = \{a^i b^j a^i b^j : i, j \in \mathbb{N}\}$ must be deterministic context-free. But using the pumping lemma for context-free languages, it can be shown that the latter is not even context-free. □

In the same way (using the pumping lemma for $Per \cap a^*b^*a^*b^*$) it also follows that Per is not context-free.

The next theorem has a rather difficult proof. Therefore and because we will not explain what unambiguity means, we omit the proof.

Theorem 22 (Petersen [22]) Q *is not an unambigous context-free language.*

Another interesting language class which is strictly between the context-free and the regular languages is the class LIN of all linear languages.

Definition 23 *A grammar* $G = [N, T, P, S]$ *is* **linear** *if its productions are of the form* $A \rightarrow aB$ *or* $A \rightarrow Ba$ *or* $A \rightarrow a$, *where* $a \in T$ *and* $A, B \in N$. *A production of the form* $S \rightarrow \epsilon$ *can also be accepted if the start symbol* S *does not occur in the right-hand side of any production.*

A **linear language** *is a language which can be generated by a linear grammar. LIN is the class of all linear languages.*

It can be shown that $REG \subset LIN \subset CF$.

Theorem 24 (Horváth [10]) Q *is not a linear language.*

The proof can be done by using a special pumping lemma for linear languages and will be omitted here.

Let $\mathcal{L}$ be the union of the classes of linear languages, unambigous context-free languages and deterministic context-free languages. Then $\mathcal{L} \subset CF$ and, by the former theorems, $Q \notin \mathcal{L}$. But, whether $Q \in CF$ or not, is still unknown.

3.2   Contextual languages

Though we do not know the exact position of Q in the Chomsky Hierarchy, its position in the system of contextual languages is clear. First, we cite the basic definitions from [21], see also [15], and then, after three examples we prove our result.

Definition 25 *A* **(Marcus) contextual grammar** *is a structure* $G = [\Sigma, A, C, \phi]$ *where Σ is an alphabet, A is a finite subset of Σ^* (called the set of axioms), C is a finite subset of $\Sigma^* \times \Sigma^*$ (called the set of contexts), and ϕ is a function from Σ^* into $\mathcal{P}(C)$ (called the choice function). If $\phi(u) = C$ for every $u \in \Sigma^*$ then G is called a* **(Marcus) contextual grammar without choice.**

With such a grammar the following relations on Σ^* are associated: For $w, w' \in \Sigma^*$,
(1) $w \Rightarrow_{ex} w'$ if and only if there exists $[p_1, p_2] \in \phi(w)$ such that
$w' = p_1 w p_2$,
(2) $w \Rightarrow_{in} w'$ if and only if there exists $w_1, w_2, w_3 \in \Sigma^*$ and $[p_1, p_2] \in \phi(w_2)$ such that $w = w_1 w_2 w_3$ and $w' = w_1 p_1 w_2 p_2 w_3$.
    $\Rightarrow_{ex}^*$ and $\Rightarrow_{in}^*$ denote the reflexive and transitive closure of these two relations.

Definition 26 *For a contextual grammar* $G = [\Sigma, A, C, \phi]$ *(with or without choice),*
$\mathcal{L}_{ex}(G) =_{Df} \{w : \exists u (u \in A \wedge u \Rightarrow_{ex}^* w)\}$ *is the* **external contextual language (with or without choice) generated by** G,
and $\mathcal{L}_{in}(G) =_{Df} \{w : \exists u (u \in A \wedge u \Rightarrow_{in}^* w)\}$ *is the* **internal contextual language (with or without choice) generated by** G.

For every contextual grammar $G = [\Sigma, A, C, \phi]$, $A \subseteq \mathcal{L}_{ex}(G) \subseteq \mathcal{L}_{in}(G)$ holds.
    The above definitions are illustrated by the following examples.
    Example 1 Let $G = [\Sigma, A, C, \phi]$ be a contextual grammar where $\Sigma = \{a, b\}$, $A = \{\epsilon, ab\}$, $C = \{[\epsilon, \epsilon], [a, b]\}$, $\phi(\epsilon) = \{[\epsilon, \epsilon]\}$, $\phi(ab) = \{[a, b]\}$ and $\phi(w) = \emptyset$ if $w \notin A$. Then $\mathcal{L}_{ex}(G) = \{\epsilon, ab, aabb\}$ and
$\mathcal{L}_{in}(G) = \{a^n b^n : n \in \mathbb{N}\}$ since $ab \Rightarrow_{ex} aabb$, $ab \Rightarrow_{in}^* a^n b^n$ for every $n \geq 1$, and there does not exist any w' such that $aabb \Rightarrow_{ex} w'$.
    Example 2 Let $G = [\Sigma, A, C, \phi]$ be a contextual grammar where
$\Sigma = \{a, b\}$, $A = \{a\}$, $C = \{[\epsilon, \epsilon], [\epsilon, a], [\epsilon, b]\}$,

$\phi(\epsilon) = \{[\epsilon, \epsilon]\}$, $\phi(ua) = \{[\epsilon, b]\}$ for $u \in \Sigma^*$ and $\phi(ub) = \{[\epsilon, a]\}$ for $u \in \Sigma^*$. Then $\mathcal{L}_{ex}(G) = \{a, ab, aba, abab, \ldots\} = a(ba)^* \cup a(ba)^*b$ and $\mathcal{L}_{in}(G) = a\Sigma^* \setminus aa\Sigma^*$.

Example 3   Let $u = a_1 a_2 a_3 \cdots$ be an ω-word over a nontrivial alphabet Σ where $a_i \in \Sigma$ for all $i \geq 1$. Let $G = [\Sigma, A, C, \phi]$ be a contextual grammar where $A = \{\epsilon, a_1\}$, $C = \{[\epsilon, \epsilon]\} \cup \{[\epsilon, a] : a \in \Sigma\}$, $\phi(\epsilon) = \{[\epsilon, \epsilon]\}$, $\phi(a_1 a_2 \cdots a_i) = \{[\epsilon, a_{i+1}]\}$ and $\phi(w) = \emptyset$ if w is not a prefix of u. Then $\mathcal{L}_{ex}(G) = \{\epsilon, a_1, a_1 a_2, a_1 a_2 a_3, \ldots\} = \text{Pr}(u)$ is the set of all prefixes of u. Hence, there exist contextual grammars generating languages which are not recursively enumerable.

Theorem 27 (Ito [5]) Q *is an external contextual language with choice but not an external contextual language without choice or an internal contextual language with or without choice.*

Proof. 1. Let $G = [\Sigma, \Sigma, \{[u, v] : uv \in \Sigma^* \wedge |uv| \leq 2\}, \phi]$ be a contextual grammar, where $\phi(w) = \{[u, v] : uv \in \Sigma^* \wedge |uv| \leq 2 \wedge uwv \in Q\}$ for every $w \in \Sigma^*$. Then obviously $\mathcal{L}_{ex}(G) \subseteq Q$. We prove $Q \subseteq \mathcal{L}_{ex}(G)$ by induction. First we have $\Sigma \subseteq (\Sigma \cup \Sigma^2) \cap Q \subseteq \mathcal{L}_{ex}(G)$. Now assume that for a fixed $n \geq 2$ all primitive words p with $|p| \leq n$ are in $\mathcal{L}_{ex}(G)$. Let u be a primitive word of smallest length $\geq n + 1$. We have two cases.

Case a). $u = wx_1 x_2$ with $x_1, x_2 \in \Sigma$ and at least one of w and wx_1 is in Q. Then, by induction hypothesis, $w \in \mathcal{L}_{ex}(G)$ or $wx_1 \in \mathcal{L}_{ex}(G)$. But then $w \Rightarrow_{ex} wx_1 x_2$ or $wx_1 \Rightarrow_{ex} wx_1 x_2$, and thus $u \in \mathcal{L}_{ex}(G)$.

Case b). $u = wx_1 x_2$ with $x_1, x_2 \in \Sigma$ and none of w and wx_1 is in Q. Then, by Theorem 13, $w = x_1^i$ for some $i \geq 1$, hence $u = x_1^{i+1} x_2$ with $x_1 \neq x_2$, and $x_2 \Rightarrow_{ex} x_1 x_2 \Rightarrow_{ex} x_1 x_1 x_2 \Rightarrow_{ex} \cdots \Rightarrow_{ex} x_1^{i+1} x_2$, and therefore $u \in \mathcal{L}_{ex}(G)$.

2. Assume that there exists a contextual grammar $G = [\Sigma, A, C, \phi]$ without choice such that $Q = \mathcal{L}_{ex}(G)$. There must be at least one pair $[u, v] \in \phi(w)$ with $uv \neq \epsilon$ for all $w \in \Sigma^*$. Let $p = \sqrt{vu}$ and $i = \deg(vu) \geq 1$. Because of $p \in Q = \mathcal{L}_{ex}(G)$, also upv would be in $\mathcal{L}_{ex}(G)$. We have $vup = p^{i+1}$. By Theorem 14, $\deg(upv) = \deg(vup) = i + 1 \geq 2$ and therefore $upv \notin Q$, which is a contradiction.

3. Assume $Q = \mathcal{L}_{in}(G)$ for some contextual grammar $G = [\Sigma, A, C, \phi]$ (with or without choice). There must be words $u, v, w \in \Sigma^*$ with $uv \neq \epsilon$ and $[u, v] \in \phi(w)$. Let $n = |uwv|$ and $a, b \in \Sigma$ with $a \neq b$. Then $a^n b^n w a^n b^n uwv \in Q$, but $a^n b^n w a^n b^n uwv \Rightarrow_{in} a^n b^n uwv a^n b^n uwv = (a^n b^n uwv)^2 \notin Q$, contradicting $\mathcal{L}_{in}(G) = Q$.   □

Theorem 28 Per *is not a contextual language of any kind.*

Proof. Assume $\mathrm{Per} = \mathcal{L}_{\mathrm{ex}}(G)$ or $\mathrm{Per} = \mathcal{L}_{\mathrm{in}}(G)$ for some contextual grammar $G = [\Sigma, A, C, \phi]$ (with or without choice). Let $\mathfrak{m}$ be a fixed number with $\mathfrak{m} > \max\{|p| : p \in A \vee \exists u([p,u] \in C \vee [u,p] \in C)\}$. Because $a^{\mathfrak{m}} b^{\mathfrak{m}} a^{\mathfrak{m}} b^{\mathfrak{m}} \in \mathrm{Per}$ we must have $q \in \mathrm{Per}$ such that $q \Rightarrow_{\mathrm{ex}} a^{\mathfrak{m}} b^{\mathfrak{m}} a^{\mathfrak{m}} b^{\mathfrak{m}}$ or $q \Rightarrow_{\mathrm{in}} a^{\mathfrak{m}} b^{\mathfrak{m}} a^{\mathfrak{m}} b^{\mathfrak{m}}$. In the first case, $q = a^i b^{\mathfrak{m}} a^{\mathfrak{m}} b^j$ with $i < \mathfrak{m} \vee j < \mathfrak{m}$ must follow. But then $q \notin \mathrm{Per}$. In the second case, $q = a^i b^j a^k b^l$ with $i < \mathfrak{m} \vee j < \mathfrak{m} \vee k < \mathfrak{m} \vee l < \mathfrak{m}$ must follow. But then $qq \Rightarrow_{\mathrm{in}} a^{\mathfrak{m}} b^{\mathfrak{m}} a^{\mathfrak{m}} b^{\mathfrak{m}} a^i b^j a^k b^l \notin \mathrm{Per}$ whereas $qq \in \mathrm{Per}$. Therefore $\mathrm{Per} \neq \mathcal{L}_{\mathrm{ex}}(G)$ and $\mathrm{Per} \neq \mathcal{L}_{\mathrm{in}}(G)$. $\square$

4   Primitivity and complexity

To investigate the computational complexity of Q and that of roots of languages on the one hand is interesting for itself, on the other hand - because $Q = \sqrt{\Sigma^*}$ - there was some speculation to get hints for solving the problem of context-freeness of Q. First, let us repeat some basic notions from complexity theory.

If $\mathcal{M}$ is a deterministic Turing machine, then $t_{\mathcal{M}}$ is the **time complexity** of $\mathcal{M}$, defined as follows. If $p \in \Sigma^*$, where Σ is the input alphabet of $\mathcal{M}$, and $\mathcal{M}$ on input p reaches a final state (we also say $\mathcal{M}$ **halts on** p), then $t_{\mathcal{M}}(p)$ is the number of computation steps required by $\mathcal{M}$ to halt. If $\mathcal{M}$ does not halt on p, then $t_{\mathcal{M}}(p)$ is undefined. For natural numbers $\mathfrak{n}$, $t_{\mathcal{M}}(\mathfrak{n}) =_{\mathrm{Df}} \max\{t_{\mathcal{M}}(p) : p \in \Sigma^* \wedge |p| = \mathfrak{n}\}$ if $\mathcal{M}$ halts on each word of length $\mathfrak{n}$. If t is a function over the natural numbers, then $\mathrm{TIME}(t)$ denotes the class of all sets which are accepted by multitape deterministic Turing machines whose time complexity is bounded from above by t. Restricting to one-tape machines, the time complexity class is denoted by $1\text{-}\mathrm{TIME}(t)$.

For simplicity, let us write $\mathrm{TIME}(\mathfrak{n}^2)$ instead of the more exact notation $\mathrm{TIME}(f)$, where $f(\mathfrak{n}) = \mathfrak{n}^2$.

Theorem 29 (Horváth and Kudlek [12]) $Q \in$ *1-TIME*$(\mathfrak{n}^2)$.

The proof which will be omitted is based on Corollary 9 and the linear speed-up of time complexity. The latter means that $1\text{-}\mathrm{TIME}(t') \subseteq 1\text{-}\mathrm{TIME}(t)$ if $t' \in O(t)$ and $t(\mathfrak{n}) \geq \mathfrak{n}^2$ for all $\mathfrak{n}$.

The time bound $\mathfrak{n}^2$ is optimal for accepting Q (or Per) by one-tape Turing machines, which is shown by the next theorem.

Theorem 30 ([17]) *For each one-tape Turing machine $\mathcal{M}$ deciding Q, $t_{\mathcal{M}} \in \Omega(\mathfrak{n}^2)$ must hold. The latter means:*
$\exists c \exists \mathfrak{n}_0 (c > 0 \wedge \mathfrak{n}_0 \in \mathbb{N} \wedge \forall \mathfrak{n}(\mathfrak{n} \geq \mathfrak{n}_0 \rightarrow t_{\mathcal{M}}(\mathfrak{n}) \geq c \cdot \mathfrak{n}^2))$.

The proof which will be omitted also, uses the for complexity theorists well-known method of counting the crossing sequences.

Now we turn to the relationship between the complexity of a language and that of its root. It turns out that there is no general relation, even more, there can be an arbitrary large gap between the complexity of a language and that of its root.

Theorem 31 ([17, 16]) *Let t and f be arbitrary total functions over $\mathbb{N}$ such that $t \in \omega(n)$ is monotone nondecreasing and f is monotone nondecreasing, unbounded, and time constructible. Then there exists a language L such that $L \in$ 1-TIME$(O(t))$ but $\sqrt{L} \notin$ TIME(f).*

Instead of the proof which is a little bit complicated we only explain the notions occuring in the theorem. $t \in \omega(n)$ means $\lim\limits_{n\to\infty} \frac{n}{t(n)} = 0$. A time constructible function is a function f for which there is a Turing machine halting in exactly $f(n)$ steps on every input of length n for each $n \in \mathbb{N}$. One can show that the most common functions have these properties. Finally,
1-TIME$(O(t)) = \bigcup\{$1-TIME$(t') : \exists c \exists n_0(c > 0 \wedge n_0 \in \mathbb{N} \wedge \forall n(n \geq n_0 \to t'(n) \leq c \cdot t(n)))\}$.

Let us still remark, that from Theorem 31 we can deduce that there exist regular languages the roots of which are not even context-sensitive, see [15, 16].

5 Powers of languages

In arithmetics powers in some sense are counterparts to roots. Also for formal languages we can define powers, and also here we shall establish some connections to roots. For the first time, the power $pow(L)$ of a language L was defined by Calbrix and Nivat in [3] in connection with the study of properties of period and prefix languages of ω-languages. They also raised the problem to characterize those regular languages whose powers are also regular, and to decide the problem whether a given regular language has this property. Cachat [2] gave a partial solution to this problem showing that for a regular language L over a one-letter alphabet, it is decidable whether $pow(L)$ is regular. Also he suggested to consider as the set of exponents not only the whole set $\mathbb{N}$ of natural numbers but also an arbitrary regular set of natural numbers. This suggestion was taken up in [13] with the next definition.

Definition 32 *For a language $L \subseteq \Sigma^*$ and a natural number $k \in \mathbb{N}$,*
$L^{(k)} =_{Df} \{p^k : p \in L\}$. *For $H \subseteq \mathbb{N}$,*

$pow_H(L) =_{Df} \bigcup_{k \in H} L^{(k)} = \{p^k : p \in L \wedge k \in H\}$ *is the* H-**power** *of* L.

Instead of $pow_H(L)$ *we also write* $L^{(H)}$, *and also it is usual to write* $pow(L)$ *instead of* $pow_{\mathbb{N}}(L) = L^{(\mathbb{N})}$.

Note the difference between $L^{(k)}$ and L^k. For instance, if $L = \{a, b\}$ then $L^{(2)} = \{aa, bb\}$, $L^2 = \{aa, ab, ba, bb\}$ and $L^{(\mathbb{N})} = a^* \cup b^*$.

We say that a set H of natural numbers has some language theoretical property if the corresponding one-symbol language $\{a^k : k \in H\} = \{a\}^{(H)}$ which is isomorphic to H has this property.

It is easy to see that every regular power of a regular language is context-sensitive. More generally, we have the following theorem.

Theorem 33 ([13]) *If* $H \subseteq \mathbb{N}$ *is context-sensitive and* $L \in CS$ *then also* $pow_H(L) = L^{(H)}$ *is context-sensitive.*

Proof. Let $L \subseteq \Sigma^*$ be context-sensitive and also $H \subseteq \mathbb{N}$ be context-sensitive. By the following algorithm, for a given word $u \in \Sigma^*$ we can decide whether $u \in L^{(H)}$.

```
1    if (u ∈ L ∧ 1 ∈ H) ∨ (u = ε ∧ 0 ∈ H)
2       then return "u is in L(H)"
3       else  compute p = √u and d = deg(u)
4              for i ← 1 to ⌊d/2⌋
5                  do if p^i ∈ L ∧ d/i ∈ H
6                      then return "u is in L(H)"
7              return "u is not in L(H)"
```

$\lfloor \frac{d}{2} \rfloor$ in line 4 is $\frac{d}{2}$ if d is even, and $\frac{d-1}{2}$ if d is odd. Each step of the algorithm can be done by a linear bounded automaton or by a Turing machine where the used space is bounded by a constant multiple of $|u|$. Crucial for this are that $|p| \leq |u|$, $d \leq |u|$, and the decisions in line 1 and in line 5 can also be done by a linear bounded automaton with this boundary, because L and H are context-sensitive and therefore acceptable by linear bounded automata. $\square$

The last theorem raises the question whether and when $L^{(H)}$ is in a smaller class of the Chomsky hierarchy, especially if L is regular. This essentially depends on whether the root of L is finite or not. Therefore we will introduce the notions FR for the class of all regular languages L such that $\sqrt{L}$ is finite, and IR $=_{Df}$ REG $\setminus$ FR for the class of all regular languages L such that $\sqrt{L}$ is infinite.

Theorem 34 ([13]) *The class FR of regular sets having a finite root is closed under the power with finite sets.*

Proof. Let L be a regular language with a finite root $\{p_1, \ldots, p_k\}$ and $\epsilon \notin L$, and let $L_i =_{Df} L \cap p_i^*$ for each $i \in \{1, \ldots, k\}$. Since $L_i \subseteq p_i^*$ and $L_i \in \mathrm{REG}$, L_i is isomorphic to a regular set M_i of natural numbers, namely $M_i = \deg(L_i)$. For each $n \in \mathbb{N}$, $M_i \cdot n =_{Df} \{m \cdot n : m \in M_i\}$ is regular too. Therefore, for a finite set $H \subseteq \mathbb{N}$, also $\bigcup_{n \in H} M_i \cdot n$ is regular which is isomorphic to $L_i^{(H)}$. Then $L^{(H)} = \bigcup_{i=1}^{k} L_i^{(H)}$ is regular, and $\sqrt{L^{(H)}} = \sqrt{L}$ is finite. If the empty word is in the language then, because of $\mathrm{pow}_H(L \cup \{\epsilon\}) = \mathrm{pow}_H(L) \cup \{\epsilon\}$ we get the same result. $\square$

If H is infinite then $\mathrm{pow}_H(L)$ may be nonregular and even non-context-free. This is true even in the case of a one-letter alphabet where the root of each nonempty set (except $\{\epsilon\}$) has exactly one element. This is illustrated by the following example.

Let $L = \{a^{2m+3} : m \in \mathbb{N}\}$. Then $L \in \mathrm{FR}$ but
$L^{(\mathbb{N})} = \{a^k : k \in \mathbb{N} \setminus \{2^m : m \geq 0\}\} \notin \mathrm{CF}$.
Therefore it remains a problem to characterize those regular sets L with finite roots where $\mathrm{pow}_H(L)$ is regular for any (maybe regular) set H.

Our next theorem shows that the powers of arbitrary (not necessarily regular) languages which have infinite roots are not regular, even more, they are not even context-free, if the exponent set is an arbitrary set of natural numbers containing at least one element which is greater than 2 and does not contain the number 1, or some other properties are fulfilled.

Theorem 35 ([13]) *For every language L which has an infinite root and for every set $H \subseteq \mathbb{N}$ containing at least one number greater than 2, $\mathrm{pow}_H(L)$ is not context-free if one of the following conditions is true:*

(a) $1 \notin H$,   *(c)* $L \cap \sqrt{L} \in REG$,
(b) $\sqrt{L} \in REG$,   *(d)* $L \in REG$ and $\sqrt{L^{(H)} \setminus L}$ is infinite.

Proof. Let $L \subseteq \Sigma^*$ be a language such that $\sqrt{L}$ is infinite, and let $H \subseteq \mathbb{N}$ with $H \setminus \{0, 1, 2\} \neq \emptyset$. We define

$$
L' =_{Df} \begin{cases}
\mathrm{pow}_H(L) & \text{if } (a) \text{ is true,} \\
\mathrm{pow}_H(L) \setminus \sqrt{L} & \text{if } (b) \text{ is true,} \\
\mathrm{pow}_H(L) \setminus (L \cap \sqrt{L}) & \text{if } (c) \text{ is true,} \\
\mathrm{pow}_H(L) \setminus L & \text{if } (d) \text{ is true.}
\end{cases}
$$

If more than one of the conditions (a), (b), (c), (d) are true simultaneously, then it doesn't matter which of the appropriate lines in the definition of L' we choose. It is important that in each case, $\sqrt{L'}$ is infinite, there is no primitive word in L' and, if $pow_H(L)$ was context-free then also L' would be context-free. But we show that the latter is not true.

Assume that L' is context-free, and let $n \geq 3$ be a fixed number from H. By the pumping lemma for context-free languages, there exists a natural number m such that every $z \in L'$ with $|z| > m$ is of the form $w_1 w_2 w_3 w_4 w_5$ where: $w_2 w_4 \neq \epsilon$, $|w_2 w_3 w_4| < m$, and $w_1 w_2^i w_3 w_4^i w_5 \in L'$ for all $i \in \mathbb{N}$.

Now let $z \in L'$ with $deg(z) \geq n$ and $|\sqrt{z}| > 2m$ which exists because $\sqrt{L'}$ is infinite. Let $p =_{Df} \sqrt{z}$ and $k =_{Df} deg(z)$. Then $|z| = k \cdot |p| > 2km$. By the pumping lemma, $z = p^k = w_1 w_2 w_3 w_4 w_5$ where $w_2 w_4 \neq \epsilon$, $|w_2 w_3 w_4| < m < \frac{|p|}{2}$, and $w_1 w_2^i w_3 w_4^i w_5 \in L'$ for each $i \in \mathbb{N}$. Especially, for $i = 0$, $x =_{Df} w_1 w_3 w_5 \in L'$ and therefore x is nonprimitive. Now let $z' =_{Df} w_5 w_1 w_2 w_3 w_4$, $q =_{Df} \sqrt{z'}$, $x' =_{Df} w_5 w_1 w_3$, and $s =_{Df} \sqrt{x'}$. By Theorem 14 we have $deg(z') = deg(z) = k$ and x' nonprimitive, therefore $|q| = |p| > 2m$ and $|s| \leq \frac{|x'|}{2}$. It follows $z' = q^k$ and $x' = q^{k-1} q'$ for some word q' with $\frac{|q|}{2} < |q'| < |q|$ (because of $0 < |w_2 w_4| \leq |w_2 w_3 w_4| < \frac{|q|}{2}$). The words z' and x' which are powers of q and s, respectively, have a common prefix $w_5 w_1$ of length $|z| - |w_2 w_3 w_4| > k \cdot |q| - \frac{|q|}{2}$. Because of $|s| \leq \frac{|x'|}{2} < \frac{k}{2} \cdot |q|$ and $k \geq 3$, we have $|q| + |s| < (\frac{k}{2}+1)|q| \leq (k-\frac{1}{2})|q|$, and therefore $q = s$ by Theorem 12. But then $x' = s^{k-1} q'$ with $0 < |q'| < |s|$ which contradicts $\sqrt{x'} = s$. $\qquad \square$

It remains open whether the H-power of a regular language is regular or context-free or neither, if $H = \mathbb{N}$ or $H \subseteq \{0, 1, 2\}$. First, we consider the exceptions 0, 1, and 2 where we find out a different behavior.

Theorem 36 ([13]) *(i) For each $L \in REG$ and $H \subseteq \{0, 1\}$, $L^{(H)} \in REG$.*
(ii) For each $L \in FR$, $L^{(2)} \in FR$.
(iii) For each $L \in IR$, $L^{(2)} \notin REG$.

Proof. (i) is trivial, (ii) follows from Theorem 34. (iii) follows from Theorem 20. $\qquad \square$

A set $pow_{\{2\}}(L) = L^{(2)}$ we call also the **square** of L. Because of the former theorem, only the squares of regular languages with infinite roots remain for interest. In contrast to the former results where the power of a regular set either is regular again or not context-free, this is not true for the squares. It is illustrated by the following examples:

Let $L_1 =_{Df} a \cdot \{b\}^*$ and $L_2 =_{Df} \{a, b\}^*$. Then both L_1 and L_2 are regular with infinite roots, but $L_1^{(2)} \in CF$ and $L_2^{(2)} \notin CF$.

To characterize those regular languages whose squares are context-free we introduce the following notion.

Definition 37 *Let* $p \in Q$ *and* $w, w' \in \Sigma^*$ *such that* p *is not a suffix of* w *and* $w'w \notin p^+$. *The sets* wp^*w' *and* p^*wp^* *are called* **inserted iterations of the primitive word** p. *The words* p, w, w' *are called the* **modules** *of* wp^*w', *and* p, w *are called the* **modules** *of* p^*wp^*. *A* **FIP-set** *is a finite union* $L_1 \cup \ldots \cup L_n$ *of inserted iterations of primitive words. The sets* $L_1, \ldots, L_n$ *are also called the* **components** *of the FIP-set.*

Using this notion we can give the following reformulation and simplification of a theorem by Ito and Katsura from 1991 (see [14]) which has a rather difficult proof.

Theorem 38 *If* $L^{(2)} \in CF$ *and* $L^{(2)} \subseteq Q^{(2)}$ *then* L *must be a subset of a FIP-set.*

Using this theorem and the proof idea from Theorem 35 we can show the following characterization.

Theorem 39 ([18]) *For a regular language* L, $L^{(2)}$ *is context-free if and only if* L *is a subset of a FIP-set.*

Proof. We show here only one direction. Let L be regular and $L^{(2)} \in CF$. We consider three cases. Case a). $L \in FR$. Let $\sqrt{L} = \{p_1, \ldots, p_n\}$. Then $L \subseteq p_1^* \cup \cdots \cup p_n^*$ and $p_1^* \cup \cdots \cup p_n^*$ is a FIP-set.

Case b). $L \in IR$ and $\sqrt{L} \cap Per$ is infinite. This means, L has infinitely many periodic words with altogether infinitely many roots of unbounded lengths. Then $L^{(2)}$ contains words z with $|\sqrt{z}| > 2m$ for arbitrary m and $deg(z) \geq 4$. If $L^{(2)}$ would be context-free then we would get the same contradiction as in the proof of Theorem 35. Therefore case b) cannot occur.

Case c). $L \in IR$ and $\sqrt{L} \cap Per$ is finite. Let $L_1 =_{Df} L \cap Q$, $L_2 =_{Df} L \cap \overline{Q}$, and $\sqrt{L_2} = \{p_1, \ldots, p_k\}$. Then $L = L_1 \cup L_2$, $L_1 \cap L_2 = \emptyset$, and $L_2 = ((p_1^* \cup \cdots \cup p_k^*) \setminus \{p_1, \ldots, p_k\}) \cap L$ is in FR. Therefore also $L_2^{(2)} \in FR$ by Theorem 36, and $L_1^{(2)} \in CF$ because $L^{(2)} = L_1^{(2)} \cup L_2^{(2)} \in CF$. We have $L_1^{(2)} \subseteq Q^{(2)}$, and by Theorem 38 follows that L_1 is a subset of a FIP-set. L_2 is a subset of a FIP-set by case a), and so is $L = L_1 \cup L_2$. $\square$

Now it is easy to clarify the situation for the n-th power of a regular or even context-free set for an arbitrary natural number n, where it is trivial that $L^{(0)} = \{\epsilon\}$, $L^{(1)} = L$.

Theorem 40 ([18]) *For an arbitrary context-free language* L *and a natural number* $n \geq 2$, *if* $L^{(n)}$ *is context-free, then either* $n \geq 3$ *and* $L \in FR$ *or* $n = 2$ *and* $L \cap Per \in FR$.

Proof. If $n \geq 3$ and $\sqrt{L}$ is infinite then $L^{(n)} \notin$ CF by Theorem 35. It is well-known that every context-free language over a single-letter alphabet is regular. Using this fact it is easy to show that every context-free language with finite root is regular too. Therefore, if $\sqrt{L}$ is finite and $L \in$ CF then $L \in$ FR, and $L^{(n)} \in$ FR by Theorem 34. If $n = 2$, $L^{(n)} \in$ CF and $\sqrt{L}$ is infinite, then $L \cap Per \in$ FR must be true by the proof of Theorem 39. $\square$

Now we consider the full power $pow(L) = pow_{\mathbb{N}}(L)$ for a regular language L.

Theorem 41 (Fazekas [6]) *For a regular language* L, $pow(L)$ *is regular if and only if* $pow(L) \setminus L \in FR$.

Proof. If $pow(L) \setminus L \in$ FR $\subseteq$ REG then $(pow(L) \setminus L) \cup L = pow(L) \in$ REG because the class of regular languages is closed under union. For the opposite direction assume $pow(L) \in$ REG. Then also $L' =_{Df} pow(L) \setminus L$ is regular because the class of regular languages is closed under difference of two sets. There are no primitive words in L' and therefore, by Theorem 20, it must have a finite root. $\square$

6 Decidability questions

Questions about the decidability of several properties of sets or decidability of problems belong to the most important questions in (theoretical) computer science. Here we consider the decidability of properties of languages regarding their roots and powers. We will cite the most important theorems in chronological order of their proofs but we omit the proofs because of their complexity.

Theorem 42 (Horváth and Ito [11]) *For a context-free language* L *it is decidable whether* $\sqrt{L}$ *is finite.*

Theorem 43 (Cachat [2]) *For a regular or context-free language* L *over single-letter alphabet it is decidable whether* $pow(L)$ *is regular.*

Using Cachat's algorithm, Horváth showed (but not yet published) the following.

Theorem 44 (Horváth) *For a regular or context-free language* L *with finite root it is decidable whether* $\mathrm{pow}(L)$ *is regular.*

Remark. Since the context-free languages with finite root are exactly the languages in FR (Remark in the proof of Theorem 40), it doesn't matter whether we speak of regularity or context-freeness in the last theorems.

Remarkable in this connection is also the only negative decidability result by Bordihn.

Theorem 45 (Bordihn [1]) *For a context-free language* L *with infinite root it is not decidable whether* $\mathrm{pow}(L)$ *is context-free.*

The problem of Calbrix and Nivat [3] and the open question of Cachat [2] for languages over any finite alphabet and almost any sets of exponents, but not for all, was answered in [13]. Especially the regularity of $\mathrm{pow}(L)$ for a regular set L remained open, but it was conjectured that the latter is decidable. Using these papers, finally Fazekas [6] could prove this conjecture.

Theorem 46 (Fazekas [6]) *For a regular language* L *it is decidable whether* $\mathrm{pow}(L)$ *is regular.*

Finally, we look at the squares of regular and context-free languages.

Theorem 47 ([18]) *For a regular language* L *it is decidable whether* $L^{(2)}$ *is regular or context-free or none of them.*

Proof. Let L be a regular language generated by a right-linear grammar $G = [\Sigma, N, S, R]$ and let $m = |N| + 1$. By Theorem 36, $L^{(2)}$ is regular if and only if $\sqrt{L}$ is finite. The latter is decidable by Theorem 42. If $\sqrt{L}$ is infinite then by Theorem 39, $L^{(2)}$ is context-free if and only if L is a subset of a FIP-set. If L is a subset of a FIP-set then we can show that there exists a FIP-set F such that $L \subseteq F$ and all modules of all components of F have lengths smaller than m. Thus there are only finitely many words which can be modules and only finitely many inserted iterations of primitive words having these modules. The latter can be effectively computed. Let $L_1, \ldots, L_n$ be all these inserted iterations of primitive words. Then $L^{(2)}$ is context-free if and only if $L \subseteq L_1 \cup \cdots \cup L_n$ which is equivalent to $L \cap \overline{(L_1 \cup \cdots \cup L_n)} = \emptyset$. The latter is decidable for regular languages L and $L_1, \ldots, L_n$. $\square$
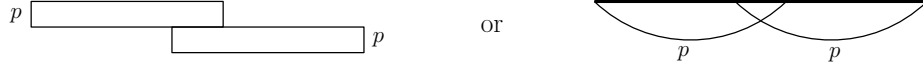
Figure 2: Concatenation with overlap

7 Generalizations of periodicity and primitivity

If u is a periodic word then we have a strict prefix v of u such that u is exhausted by concatenation of two or more copies of v, $u = v^n$, $n \geq 2$ (see Figure 3). But it could be that such an exhaustion is not completely possible, there may remain a strict prefix of v and the rest of v overhangs u, i.e. $u = v^n v'$, $n \geq 2$, $v' \sqsubset v$ (see Figure 4). In such case we call u to be semi-periodic. A third possibility is to exhaust u by concatenation of two or more copies of v where several consecutive copies may overlap (see Figure 5). In this case we speak about quasi-periodic words. If a nonempty word is not periodic, semi-periodic, or quasi-periodic, respectively, we call it a primitive, strongly primitive, or hyperprimitive word, respectively. Of course, periodic and primitive words are those we considered before in this paper. Finally, we can combine the possibilities to get three further types which we will summarize in the forthcoming Definition 49. Before doing so, we give a formal definition of concatenation with overlaps. All these generalizations have been introduced and detailed investigated in [15]. Most of the material in this section is taken from there.

Definition 48 *For $p, q \in \Sigma^*$, we define*
$p \otimes q =_{Df} \{w_1 w_2 w_3 : w_1 w_3 \neq \epsilon \land w_1 w_2 = p \land w_2 w_3 = q\}$,
$p^{\otimes 0} =_{Df} \{\epsilon\}$, $p^{\otimes k+1} =_{Df} \bigcup \{w \otimes p : w \in p^{\otimes k}\}$ *for $k \in \mathbb{N}$,*
$A \otimes B =_{Df} \bigcup \{p \otimes q : p \in A \land q \in B\}$ *for sets $A, B \subseteq \Sigma^*$.*

The following example shows that in general, $p \otimes q$ is a set of words:
Let $p = aabaa$. Then $p \otimes p = p^{\otimes 2} = \{aabaaaabaa, aabaaabaa, aabaabaa\}$. We can illustrate this by Figure 2.

In the following definition we repeat our Definitions 1 and 2 and give the generalizations suggested above.

u			
v	v	v	v

Figure 3: u is periodic, $u \in \mathsf{Per}$, $v = \mathsf{root}(u)$

Definition 49

$\mathsf{Per} \quad =_{\mathrm{Df}} \quad \{u : \exists v \exists n (v \sqsubset u \wedge n \geq 2 \wedge u = v^n)\} \quad$ *is the set of* **periodic** *words.*

$\mathsf{Q} \quad =_{\mathrm{Df}} \quad \Sigma^+ \setminus \mathsf{Per} \quad$ *is the set of* **primitive** *words.*

$\mathsf{SPer} \quad =_{\mathrm{Df}} \quad \{u : \exists v \exists n (v \sqsubset u \wedge n \geq 2 \wedge u \in v^n \cdot \mathsf{Pr}(v))\} \quad$ *is the set of* **semi-periodic** *words.*

$\mathsf{SQ} \quad =_{\mathrm{Df}} \quad \Sigma^+ \setminus \mathsf{SPer} \quad$ *is the set of* **strongly primitive** *words.*

$\mathsf{QPer} \quad =_{\mathrm{Df}} \quad \{u : \exists v \exists n (v \sqsubset u \wedge n \geq 2 \wedge u \in v^{\otimes n})\} \quad$ *is the set of* **quasi-periodic** *words.*

$\mathsf{HQ} \quad =_{\mathrm{Df}} \quad \Sigma^+ \setminus \mathsf{QPer} \quad$ *is the set of* **hyperprimitive** *words.*

$\mathsf{PSPer} \quad =_{\mathrm{Df}} \quad \{u : \exists v \exists n (v \sqsubset u \wedge n \geq 2 \wedge u \in \{v^n\} \otimes \mathsf{Pr}(v))\} \quad$ *is the set of* **pre-periodic** *words.*

$\mathsf{SSQ} \quad =_{\mathrm{Df}} \quad \Sigma^+ \setminus \mathsf{PSPer} \quad$ *is the set of* **super strongly primitive** *words.*

$\mathsf{SQPer} \quad =_{\mathrm{Df}} \quad \{u : \exists v \exists n (v \sqsubset u \wedge n \geq 2 \wedge u \in v^{\otimes n} \cdot \mathsf{Pr}(v))\} \quad$ *is the set of* **semi-quasi-periodic** *words.*

$\mathsf{SHQ} \quad =_{\mathrm{Df}} \quad \Sigma^+ \setminus \mathsf{SQPer} \quad$ *is the set of* **strongly hyperprimitive** *words.*

$\mathsf{QQPer} \quad =_{\mathrm{Df}} \quad \{u : \exists v \exists n (v \sqsubset u \wedge n \geq 2 \wedge u \in v^{\otimes n} \otimes \mathsf{Pr}(v))\} \quad$ *is the set of* **quasi-quasi-periodic** *words.*

$\mathsf{HHQ} \quad =_{\mathrm{Df}} \quad \Sigma^+ \setminus \mathsf{QQPer} \quad$ *is the set of* **hyperhyperprimitive** *words.*

The different kinds of generalized periodicity are illustrated in the Figures 3–8.

Theorem 50 *The sets from Definition 49 have the inclusion structure as given in Figure 9. The lines in this figure denote strict inclusion from bottom to top. Sets which are not connected by such a line are incomparable under inclusion.*
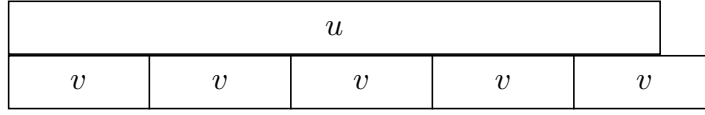
Figure 4: u is semi periodic, $u \in \mathsf{SPer}$, $v = \mathsf{sroot}(u)$
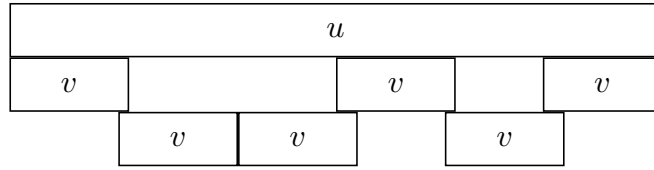
Figure 5: u is quasi-periodic, $u \in \mathsf{QPer}$, $v = \mathsf{hroot}(u)$
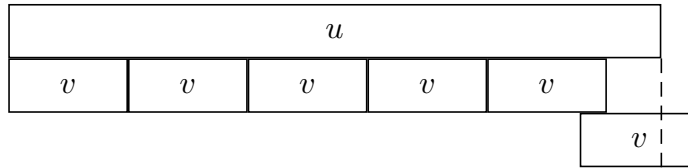
Figure 6: u is pre-periodic, $u \in \mathsf{PSPer}$, $v = \mathsf{ssroot}(u)$
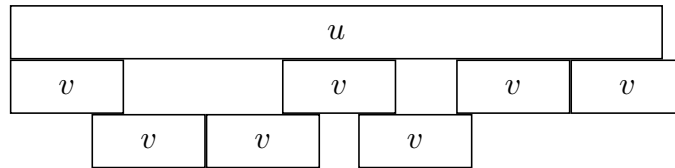
Figure 7: u is semi-quasi-periodic, $u \in \mathsf{SQPer}$, $v = \mathsf{shroot}(u)$
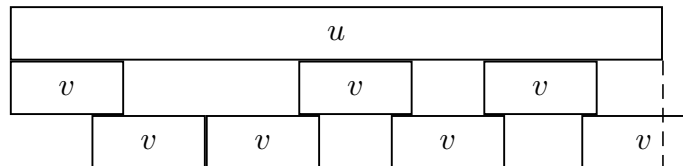
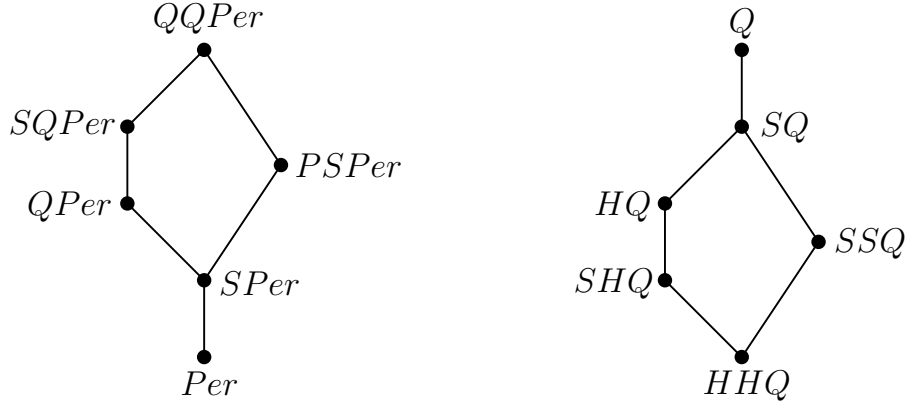Figure 8: u is quasi-quasi-periodic, $u \in \mathsf{QQPer}$, $v = \mathsf{hhroot}(u)$

Figure 9: Inclusion structure

Proof. Because of the duality between the sets, it is enough to prove the left structure in Figure 9. Let $u \in \mathsf{SPer}$, it means, $u = v^n q$ where $n \geq 2$ and $q \sqsubset v$. Thus $v = qr$ for some $r \in \Sigma^*$ and $u = (qr)^n q \in (qrq)^{\otimes n}$ and therefore $u \in \mathsf{QPer}$ and $\mathsf{SPer} \subseteq \mathsf{QPer}$. The remaining inclusions are clear by the definition. To show the strictness of the inclusions we can use the following examples:
$u_1 = abaababab$, $u_2 = aababaababaabaab$, $u_3 = aabaaabaaba$, $u_4 = abaabab$, $u_5 = ababa$.
Then $u_1 \in \mathsf{QQPer} \setminus (\mathsf{SQPer} \cup \mathsf{PSPer})$, $u_2 \in \mathsf{SQPer} \setminus \mathsf{QPer}$,
$u_3 \in \mathsf{QPer} \setminus \mathsf{PSPer}$, $u_4 \in \mathsf{PSPer} \setminus \mathsf{SQPer}$, and $u_5 \in \mathsf{SPer} \setminus \mathsf{Per}$.
u_3 and u_4 also prove the incomparability. $\qquad\square$

The six different kinds of periodicity resp. primitivity of words give rise to define six types of roots where the first one is again that from Definition 6.

Definition 51 *Let $u \in \Sigma^+$.*
The shortest word v such that there exists a natural number n with
$u = v^n$ *is called the* **root** *of u, denoted by* $\mathsf{root}(u)$.
The shortest word v such that there exists a natural number n with
$u \in v^n \cdot \mathsf{Pr}(v)$ *is called the* **strong root** *of u, denoted by* $\mathsf{sroot}(u)$.
The shortest word v such that there exists a natural number n with
$u \in v^{\otimes n}$ *is called the* **hyperroot** *of u, denoted by* $\mathsf{hroot}(u)$.
The shortest word v such that there exists a natural number n with
$u \in \{v^n\} \otimes \mathsf{Pr}(v)$ *is called the* **super strong root** *of u, denoted by* $\mathsf{ssroot}(u)$.
The shortest word v such that there exists a natural number n with

$u \in v^{\otimes n} \cdot Pr(v)$ *is called the* **strong hyperroot** *of* u*, denoted by* $shroot(u)$*.*
The shortest word v *such that there exists a natural number* n *with*
$u \in v^{\otimes n} \otimes Pr(v)$ *is called the* **hyperhyperroot** *of* u*, denoted by* $hhroot(u)$*.*
If L *is a language, then* $root(L) =_{Df} \{root(p) : p \in L \wedge p \neq \epsilon\}$ *is the* **root**
of L*. Analogously* $sroot(L)$*,* $hroot(L)$*,* $ssroot(L)$*,* $shroot(L)$ *and* $hhroot(L)$
are defined.

The six kinds of roots are illustrated in the Figures 3–8 (if v is the shortest
prefix with the appropriate property).

$root$, $sroot$, $hroot$, $ssroot$, $shroot$ and $hhroot$ are word functions over
Σ^+, i.e., functions from Σ^+ to Σ^+. Generally, for word functions we define the
following partial ordering, also denoted by $\sqsubseteq$.
$dom(f)$ for a function f denotes the **domain** of f.

Definition 52 *For word functions* f *and* g *having the same domain,*
$f \sqsubseteq g =_{Df} \forall u(u \in dom(f) \rightarrow f(u) \sqsubseteq g(u))$*.*

Theorem 53 *The partial ordering* $\sqsubseteq$ *for the functions from Definition 51*
is given in Figure 10.

Proof. It follows from the definition, that for an arbitrary word $u \in \Sigma^+$ and its
roots we have the prefix relationship as shown in the figure. It remains to show
the strict prefixes and incomparability. This can be done, for instance, by the
following examples. Let $u_1 = abaabaababaabaabab$, $u_2 = abaabaabab$,
and $u_3 = abaababaabaabaab$. Then
$hhroot(u_1) = aba \sqsubset shroot(u_1) = abaab \sqsubset ssroot(u_1) = sroot(u_1) =$
$abaabaab \sqsubset hroot(u_1) = abaabaabab \sqsubset root(u_1) = u_1$,
$ssroot(u_2) = aba \sqsubset shroot(u_2) = abaab \sqsubset sroot(u_2) = abaabaab \sqsubset$
$hroot(u_2) = u_2$,   and
$hroot(u_3) = abaab \sqsubset sroot(u_3) = abaababaaba$,   which proves our figure.
$\square$

For most words u, some of the six roots coincide, and we have the question
how many roots of u are different, and whether there exist words u such that
all the six roots of u are different from each other. This last question was
raised in [15], and it was first assumed that they do not exist. But in 2010
Georg Lohmann discovered the first of such words.

Definition 54 *Let* $k \in \{1, 2, 3, 4, 5, 6\}$*. A word* $u \in \Sigma^+$ *is called a* k**-root**
word *if*
$|\{root(u), sroot(u), hroot(u), ssroot(u), shroot(u), hhroot(u)\}| = k$*.*
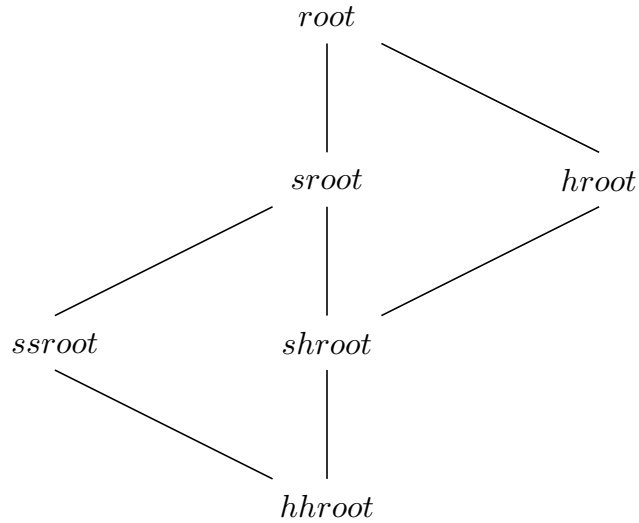
Figure 10: Partial ordering of the root-functions

A 6-root word is also called a **Lohmann word***.*
$\mathfrak{u}$ *is called a* **strong k-root word** *if it is a k-root word and* $\mathrm{root}(\mathfrak{u}) \neq \mathfrak{u}$*, it means, it is a periodic k-root word.*

The following theorems give answers to our questions. The proofs are easy or will be published elsewhere.

Theorem 55 *The lexicographic smallest k-root words are* $\mathfrak{a}$ *for $k = 1$,* $\mathfrak{aba}$ *for $k = 2$,* $\mathfrak{ababa}$ *for $k = 3$,* $\mathfrak{abaabaabab}$ *for $k = 4$,* $\mathfrak{abaabaababaabaabab}$ *for $k = 5$, and* $\mathfrak{ababaababababaabababaababababaabab}$ *for $k = 6$.*
The lexicographic smallest strong k-root words are $\mathfrak{aa}$ *for $k = 1$,* $\mathfrak{abaababaab}$ *for $k = 2$,* $(\mathfrak{ab}^3\mathfrak{abab}^3\mathfrak{abab}^3)^2$ *for $k = 3$, and* $(\mathfrak{ababaabababbaabab})^2$ *for $k = 4$.*

Theorem 56 *There exist no strong k-root words for $k = 5$ and $k = 6$.*

Theorem 57 *Let v and w be words such that $\epsilon \sqsubset v \sqsubset w$, $wv \not\sqsubseteq p^l$ for some $p \sqsubset w$ and $l > 1$ and k_1, k_2, k_3 be natural numbers with $2 \leq k_1 < k_2 < k_3 \leq 2k_1$. Then $\mathfrak{u} = w^{k_1}vw^{k_2}vw^{k_1}vw^{k_3}vw^{k_3-k_1}$ is a Lohmann word.*

It is still open whether the sufficient condition in the last theorem is also a necessary condition for Lohmann words.

Let us now examine whether the results from the former sections are also true for generalized periodicity and primitivity. First, we give generalizations of Corollary 9 and Theorem 13. For their proofs we refer to [15].

Lemma 58 $w \notin SQ$ *if and only if* $w = pq = qr$ *for some* $p, q, r \in \Sigma^+$ *and* $|q| \geq \frac{|w|}{2}$.

Lemma 59 *If* $aw \notin SQ$ *and* $wb \notin SQ$, *where* $w \in \Sigma^+$ *and* $a, b \in \Sigma$, *then* $awb \notin SQ$.

Lemma 60 *If* $aw \notin HQ$ *and* $wb \notin HQ$, *where* $w \in \Sigma^+$ *and* $a, b \in \Sigma$, *then* $awb \notin HQ$.

Theorem 19 remains true for each of the sets from Definition 49. The Theorems 21, 22, and 24 with their proofs are passed to each of the languages SQ, HQ, SSQ, SHQ, and HHQ. Also the non-context-freeness of each of the sets of generalized periodic words is simple as remarked after Theorem 21. The context-freeness of the sets of generalized primitive words is open just as that of Q.

Using Lemma 59 and Lemma 60 it can be shown that Theorem 27 is also true for SQ and HQ. Also none of SSQ, SHQ, HHQ, and the sets of generalized periodic words is a contextual language of any kind.

Theorem 30 and its proof remain true for each of the sets from Definition 49. Theorem 29 is true for SQ where the proof uses Lemma 58. Whether the time bound n^2 is also optimal for accepting one of the remaining sets remains open. Theorem 31 and its proof remain true for each of the roots from Definition 51.

Acknowledgements

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